

Financial Advisor Change Form

INSTRUCTIONS

Questions? Please call **800.445.GRAD (4723), option #4**, Monday through Friday from 8am to 6pm Central Time.

Use this form to change financial advisor representatives, financial advisor addresses, change rep ID numbers or to correct misspelled names.

This form is to be filled out by the financial advisor on the account and signed by the account owner.

Please refer to Section 3 to ensure the proper signatures have been obtained to effect the changes requested.

This form can be submitted via fax to **402.431.4452** or mailed to:

LoneStar 529 Plan
c/o NorthStar Financial Services Group, LLC
P.O.Box 540010
Omaha, NE 68154

1 | FINANCIAL ADVISOR INFORMATION

- Check here if you are changing or adding the financial advisor and provide the new financial advisor's information below.
- Check here if you are not assigning a new financial advisor, but are changing/correcting information for your current financial advisor.

Rep ID number	First name	Middle initial	Last name
Firm number	Firm name		
Dealer branch address			Branch number
City	State	Zip	
()	Email address		
Phone number			

2 | ACCOUNT OWNER INFORMATION

Please indicate the LoneStar 529 Plan® accounts to which changes will apply (**please print clearly**).

Owner first name	Middle initial	Last name
Taxpayer Identification number		OR Social Security number
Account no.	Account no.	
Account no.	Account no.	
Account no.	Account no.	

Please fill out Taxpayer Identification number or Social Security number for all account numbers to be changed or list all account numbers to which changes listed should apply. If there are more accounts than fit in the spaces below, please attach an additional list of account numbers. Refer to Section 3 for signature requirements.

3 | ACCOUNT OWNER SIGNATURE

Note: Please refer to page 1 for mailing instructions and our fax number.

X _____
Signature of account owner Date

Print name

X _____
Signature of new financial advisor Date

Print name

The LoneStar 529 Plan® is established and maintained by the Texas Prepaid Higher Education Tuition Board and distributed by Northern Lights Distributors, LLC, Member FINRA/SIPC. NorthStar Financial Services Group, LLC, the parent company of Northern Lights Distributors, LLC, is the Plan manager and administrator of the Plan.

As stated in the current Plan Description and Savings Trust Agreement, total Plan fees for the portfolios range from 0.66% to 2.48% with a maximum sales charge of 5.75% and are subject to change.

Some states offer favorable tax treatment to their residents only if they invest in the state's own plan. Non-residents of Texas should consider whether their home state, or the beneficiary's home state, offers its residents any state tax or other state benefits, such as financial aid, scholarship funds, and protection from creditors that are only available for participants in that state's 529 plan.

You could lose money by investing in this investment option. Although the money market fund in which your investment option invests (the "underlying fund") seeks to preserve its value at \$1.00 per share, the underlying fund cannot guarantee it will do so. An investment in this investment option is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. The underlying fund's sponsor has no legal obligation to provide financial support to the underlying fund, and you should not expect that the sponsor will provide financial support to the underlying fund at any time.

No part of an account, the principal invested nor any investment return, is insured or guaranteed by the FDIC, the state of Texas, the Texas Prepaid Higher Education Tuition Board, any other state or federal governmental agency or NorthStar or its affiliates. An account might not make money and could lose money (including the principal invested) if money is invested in the Plan. Interests in the Plan have not been registered with the U.S. Securities and Exchange Commission or with any state.

Before investing in the Plan, investors should carefully consider the investment objectives, risks, administrative fees, service and other charges and expenses associated with municipal fund securities. The Plan Description and Savings Trust Agreement contains this and other information about the Plan and may be obtained by visiting www.lonestar529.com or calling 1.800.445.GRAD (4723), Option #4. Investors should read these documents carefully before investing.

Comments or complaints may be forwarded to the Prepaid Higher Education Tuition Program, Office of the Comptroller of Public Accounts, at P.O. Box 13407, Austin, Texas 78711-3407 or at 1.512.936.2064.

"LoneStar 529 Plan" is a registered service mark of the Texas Prepaid Higher Education Tuition Board.
All rights reserved.

The LoneStar 529 Plan is distributed by Northern Lights Distributors, LLC Member FINRA, SIPC
17605 Wright Street, Omaha, NE 68130
©Copyright 2018 NorthStar Financial Services Group, LLC. All rights reserved.

LS-001 January 1, 2018

